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Paper Reference:	OPSG_84_2811_12
Action:	For Decision
<u>Acronyms and Defined Terms SEC Glossary</u>	

DCC Monitoring and Assurance Project – Phase 2 Outputs

1. Purpose

This paper introduces, for OPSG approval, the Gap Analysis and the Finding and Recommendations Report that represent the output of Phase 2 (Data Gathering and Evaluation) of the SEC DCC Monitoring and Assurance Project.

2. Structure and Background

The document set is as follows:

- Gap Analysis 0.3: This is the explanation of the Gap Analysis (approach and methodology, how to use the spreadsheet), and extracts the particular SEC provisions where there was a recommendation for further action
- Gap Analysis Annex v0.3: This is the Gap Analysis itself, showing each requirement, including those where no change is recommended, and with features like mapping these against existing reporting.
- Findings and Recommendations v0.3: This is the Findings & Recommendations Report.

The project background and progress to date are explained in the opening section of the 'Findings and Recommendations Report'.

3. OPSG consideration and approval

OPSG 83 decide to establish a workshop on 28 November 2022, ahead of OPSG 84, wherein a sub-set of OPSG members along with relevant supporting staff from SECAS and DCC could review these findings in more detail. The key outcomes from that workshop will be presented back to OPSG 84 and, depending on their nature, SECAS will seek either approval of the documents and associated recommendations (subject to such alterations as may be agreed) or a path to future approval.

4. Recommendations

The OPSG is requested to:

- **NOTE** the contents of this paper;
- **CONSIDER** the contents of the Gap Analysis and Findings and Recommendations Report;
- **AGREE** implementation of the recommendations contained there-in, and/or a path to such implementation, subject to the outcome of the OPSG workshop on the morning of 28 November 2022.

Brendan McGarry

SECAS Team

21 November 2022

Attachments:

Appendix A: Findings and Recommendations (**AMBER**)

Appendix B: Gap Analysis (**AMBER**)

Annex A: Gap Analysis (**AMBER**)